
Bayesian Optimisation Against Climate Change: Applications and Benchmarks

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Abstract

Bayesian optimisation is a powerful method for optimising black-box functions, popular in settings where the true function is expensive to evaluate and no gradient information is available. Bayesian optimisation can improve responses to many optimisation problems within climate change for which simulator models are unavailable or expensive to sample from. While there have been several feasibility demonstrations of Bayesian optimisation in climate-related applications, there has been no unifying review of applications and benchmarks. We provide such a review here, to encourage the use of Bayesian optimisation in important and well-suited application domains. We identify four main application domains: material discovery, wind farm layout, optimal renewable control and environmental monitoring. Our contributions are: a) identifying a representative range of benchmarks, providing example code where necessary; b) introducing a new benchmark, LAQN-BO; and c) promoting a wider use of climate change applications among Bayesian optimisation practitioners.

1. Introduction

The use of machine learning (ML) to tackle climate change is gaining traction, including ML-wide surveys of relevant applications (Rolnick et al., 2022; Donti & Kolter, 2021). To facilitate technical innovation and its adoption into practice, it is important to complement these reviews with concise summaries of problems that specific ML frameworks are well-matched to, along with example data sets. Here, we provide a summary, paired with data sets, for Bayesian optimisation. This is important for three reasons: a) it

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reduces start-up costs and overhead, as the time-consuming task of identifying suitable data sets does not have to be repeated; b) it helps researchers focus on problems that are timely and/or important, and have a structure that is suitable for the framework(s) they are using; and c) it makes it easier to compare methods as numerical results can be compared directly. The benchmarks should be similar to real-world applications, because that provides more realistic expectations of performance. It also shows which versions of Bayesian optimisation are most likely to perform well, for instance in terms of choice of acquisition function and underlying model. More realistic benchmarks also let us identify challenges which still need to be solved, for instance in terms of generating useful priors from related data.

Bayesian optimisation (BO), is a machine-learning approach to black-box optimisation (Shahriari et al., 2015). It is particularly suited to problems that:

- have a complex unknown structure which can only be efficiently modelled through a surrogate model, e.g. the conductivity of solar panel materials;
- are expensive or slow to evaluate, requiring sample efficiency from trying out fewer bad or uninformative choices, e.g. when discovering new materials that must be synthesised in order to be evaluated;
- require identifying extrema, either for optimisation, e.g. maximising power generation, or for intervention, e.g. emission monitoring.

An additional benefit of Bayesian optimisation is that it explicitly models its uncertainty, which can be used to determine when to stop optimising (Makarova et al., 2022), or to trade off exploration and exploitation (De Ath et al., 2021).

Bayesian optimisation consists of fitting a surrogate model, typically a Gaussian process (Williams & Rasmussen, 2006), to observed data, and using the surrogate model to choose what input value to evaluate next. Then the surrogate model is adjusted, another input value evaluated, and so on. To choose the next input value an acquisition function is used, which balances exploration and exploitation. As an illustrative example, visualised in Figure 1, Bayesian optimisation can be used to find the angle of a solar panel that maximises power output: (1) model the output as a function of angle

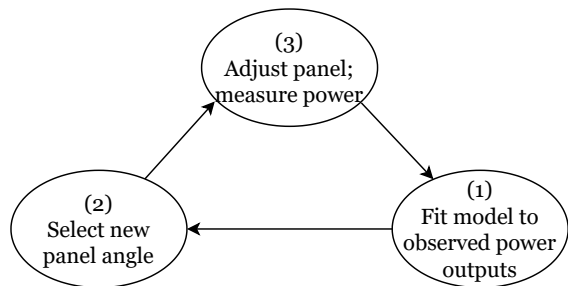


Figure 1: Application of Bayesian optimisation to optimising the power generation of a solar panel by adjusting the panel angle.

based on all available data and/or domain knowledge; (2) select a promising angle to try next, e.g., an angle that is a good candidate for maximising power output or that is maximally informative about the best angle; and (3) try this angle before updating the model and returning to Step 1.

We present a summary of Bayesian optimisation as applied to climate change problems. We identify the main application domains, emphasise how they impact climate change responses, and identify beginner-friendly benchmarks for each. We found a benchmark to be lacking for environmental monitoring, and therefore provide one, the LAQN-BO benchmark, based on air pollution data (Imperial College London, 1993). The four use cases are: *material discovery* — accelerating the development of e.g. new solar panels; *wind farm layouts* — choosing where to place individual turbines; *optimal renewable control* — choosing operating parameters of solar panels or wind turbines; and *environmental monitoring* — choosing where to place sensors. (Bliek, 2022) is a related survey of Bayesian optimisation and other surrogate-based optimisation methods. Where Bliek takes a higher-level approach, focusing on classifying previous work by methodology, we instead group work by applications in order to identify data sets and applications for new projects. When selecting benchmarks, we have emphasised ease of adoption. So we have only considered ones that are publicly available, and well documented.

This paper is predominantly concerned with Bayesian optimisation. However, Bayesian optimisation is closely related to other methods, like Bayesian experimental design (Rainforth et al., 2023), and we have included some examples of applications of these methods as well, e.g. (Tran & Ulissi, 2018; Kleinegesse & Gutmann, 2020). Bayesian optimisation can be used within Bayesian experimental design (Valentin et al., 2023), and can also be seen as a special case of Bayesian experimental design (Rainforth et al., 2023), especially when using information-theoretic acquisition functions. Both are powerful methods, with useful applications in the response to climate change and similar challenges,

such as the choice of priors and scaling to large numbers of samples. Our focus on Bayesian optimisation does not reflect a preference of one method over the other, but rather that more work has been done applying Bayesian optimisation against climate change than for Bayesian experimental design. Because the methods are so closely related, and because our focus is on the applications and benchmarks, not on the details of the methods used for solving them, we have opted to include some examples of related methods as well.

Bayesian optimisation is not a complete solution, but an important tool when combined with domain knowledge, and can help improve responses to climate change. Performance in real applications is the ultimate test of any ML method, including Bayesian optimisation. Simulated problems often fail to anticipate crucial challenges, e.g. in terms of finding suitable inductive biases (Hellan et al., 2022). Currently, Bayesian optimisation is often evaluated on synthetic functions or hyperparameter optimisation (HPO) benchmarks, either exclusively (AV et al., 2022; Papenmeier et al., 2022; Hvarfner et al., 2022; Thebelt et al., 2022) or mostly (Oliveira et al., 2022; Folch et al., 2022; Song et al., 2022). But HPO is just one kind of problem. There are other less used benchmarks, like robotics (Song et al., 2022; Nguyen et al., 2022) and chemistry simulations (Folch et al., 2022; Tu et al., 2022). (Ramesh et al., 2022) test their method on optimising the control of an airborne wind energy system, but has not made their setup available as a benchmark. To increase the impact of Bayesian optimisation, and its prevalence, we should adopt a broader set of benchmarks. That would not only demonstrate which methods work well in practice, but open up new research problems to solve. Using benchmarks from climate change has the advantage of doing so in a dynamic field, increasing the chance of adoption, and with real impact. Adopting climate change motivated benchmarks, and using them to compare methods, will make Bayesian optimisation more relevant outside academia. We now proceed to the main applications domains and their respective benchmarks, starting with material discovery.

2. Material discovery

Material discovery means developing materials with superior combinations of properties (Frazier & Wang, 2016), e.g. more efficient solar cells. Bayesian optimisation and related methods have been suggested for optimising a wide range of materials, from concrete (Severson et al., 2021) and Direct Air Capture of CO₂ (Ortiz-Montalvo et al., 2021) to solar panel glass (Haghanifar et al., 2021), electrifying the transport and chemical industry sectors (Annevelink et al., 2022), and for electrocatalysts for CO₂ reduction and H₂ production (Tran & Ulissi, 2018; Zhong et al., 2020; Frey et al., 2022). The benefits are in reducing greenhouse

gas emissions or increasing their capture; making renewable energy generation or storage more efficient; or making more sectors able to use renewable energy. If evaluating the material requires synthesising and testing it, there are huge potential gains in time and cost by only doing so on promising candidates identified by Bayesian optimisation.

(Liang et al., 2021) provide three material discovery data sets (Sun et al., 2021; Bash et al., 2021; Mekki-Berrada et al., 2021) related to solar panels, with 3-5 features each and between 94 and 178 unique evaluated data points. Using Figure 1 to illustrate the Bayesian optimisation process, the steps become: (1) model the material performance as a function of ingredient proportions used; (2) select a new combination of ingredient proportions to try; and (3) produce and evaluate the material. The data sets enable us to replace (3) with looking up the evaluations in a table.

- **Benchmark:** <https://github.com/PV-Lab/Benchmarking> (Liang et al., 2021)
- **Features:** Material properties: 3-5 dimensions
- **Data type:** CSV files
- **Sampling:** Manufacture material. In benchmark replaced by table look-up
- **Objective:** Material performance: conductivity, absorbance spectrum score or stability
- **Impact:** Better solar panels, which gives more renewable generation and lower climate gas emissions

3. Wind farm layout

Wind turbines are typically grouped together in wind farms, with several turbines in relatively close proximity, to reduce installation costs and environmental impacts. Before construction, the locations of individual wind turbines must be planned. Wind turbines work by extracting kinetic energy from the air, so the wind is weaker and more turbulent after flowing past a turbine. This leads to less power generation (Park & Law, 2015) and greater dynamic loads and fatigue on the downwind turbines (Dong et al., 2022). This is illustrated in Figure 2, where the two turbines need to be placed within the green area. Determining the optimal wind turbine layout is a difficult but important optimisation problem, as more renewable electricity can be generated without requiring more turbines to be installed. The efficiency of Bayesian optimisation is important for this problem, as the type of simulations used can take 15 seconds to run on one CPU even for five wind turbines (Bliek et al., 2021). Bayesian optimisation can be applied to the problem by replacing the steps in Figure 1 with (1) modelling the collective power output as a function of the wind turbine placements; (2) selecting a new combination of locations to evaluate; and (3) running the power output simulation.

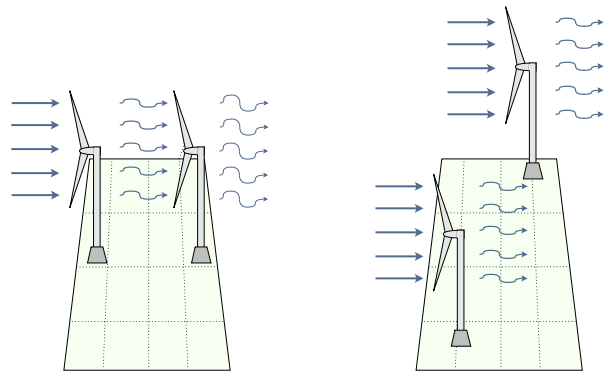


Figure 2: Planning of wind turbines within limits shown in green. The wind is shown by the blue arrows: wider arrows indicate stronger wind and more undulating arrows indicate more turbulence. In the layout on the left one turbine is directly downwind of the other, resulting in weaker and more turbulent wind. To the right, both turbines get unhindered wind.

Bliek et al.’s (2021) set of benchmarking problems and baselines include a wind farm layout problem, *windwake*, based on FLORIS (NREL, 2020) simulations. The benchmark assumes a fixed number of wind turbines; this is relaxed in (Chugh & Ymeraj, 2022), where the two objectives of cost and power generation are jointly optimised, using simulations based on (Pedersen et al., 2019). In related pieces of work, (Mern et al., 2021) build wind maps for later layout planning, and (Tillmann et al., 2020) plan the layout of bifacial solar panel arrays. We use the benchmark in (Bliek et al., 2021) as it has been prepared for further use.

- **Benchmark:** <https://github.com/AlgTUDelft/ExpensiveOptimBenchmark> (Bliek et al., 2021)
- **Features:** Spatial locations: 10 dimensions. 2 for each of 5 turbines
- **Data type:** Simulation
- **Sampling:** Simulate layout
- **Objective:** Energy production
- **Impact:** More renewable generation, which reduces climate gas emissions

4. Optimal renewable control

Having planned the layout of a new renewable power generation plant, the next question is how best to operate it. For wind turbines the yaw and pitch angles need to be set (Doekemeijer et al., 2019; Park & Law, 2015; Park, 2020; Yang et al., 2022), as well as the induction factor (Park, 2020) and electric load resistance (Park & Law, 2015). For solar panels the voltage level applied (Abdelrahman et al., 2016; Lyden et al., 2018) and the angle of the panel (Abel

et al., 2018) can be adjusted. By adjusting these the amount of energy generated can be maximised, which in turn reduces the amount of fossil fuel consumed for a given amount of electricity demand. A review of different control methodologies for wind turbines is given in (Dong et al., 2022). For wind farms, the optimisation should occur jointly, as the available wind energy for a downstream turbine is impacted by the operating conditions of the upstream turbine (Park & Law, 2015). Bayesian optimisation is suitable for this problem as it allows the response of the non-linear problem to be learnt through data collection. Extensive work on wind turbine control has also been done using Bayesian Ascent, a version of Bayesian optimisation which limits the changes in inputs between iterations (Park & Law, 2016a;b; Park et al., 2016; 2017; 2018). Relatedly, (Moustakis et al., 2019) use Bayesian optimisation to tune the parameters of traditional control methods, and (Fiducioso et al., 2019) consider the problem of controlling a HVAC system. In (Mulders et al., 2020), Bayesian optimisation is used to reduce blade fatigue. (Andersson & Imsland, 2020) use a method related to Bayesian optimisation for wind turbine control.

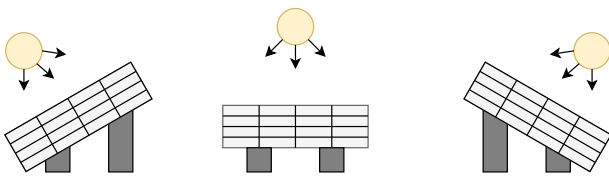


Figure 3: Adjusting solar panels to optimise power generation as the sun moves across the sky.

(Abel et al., 2018) contribute a benchmark for solar panel optimisation¹. The goal is to maximise the energy yield by adjusting the angles of the solar panel, as illustrated in Figure 3. They evaluate contextual bandits and reinforcement learning methods, but the problem is also suitable for Bayesian optimisation. We provide a basic example of this at https://github.com/sighellan/solar_panels_rl². Figure 1 shows how Bayesian optimisation is applied to the problem. We model power output as a function of panel angles; decide on a new angle to try; and run the simulation to evaluate the new angle. The challenge is not to locate the sun; its location can be calculated and is given as input to the methods. Instead, the idea is to adapt to other changes like cloud cover, shading from nearby buildings and changes in the amount of reflected sunlight, for instance after snowfall. However, many solar panels are not designed to track the sun, and instead keep

¹To make it work, we used v0.784 of the `simple_rl` Python package (Abel, 2019).

²We built the example using BoTorch (Balandat et al., 2020). In addition to integrating our basic Bayesian optimisation implementation into the framework, we also provide a requirements file and port the code to Python 3.

a fixed angle. Wind turbines have more control settings, interact in less predictable ways, and have seen more applications of Bayesian optimisation (see previous paragraph). We chose the benchmark by (Abel et al., 2018) because it is well-documented and publicly available. But real-world benefits are more likely to come from applications to wind turbines than to solar panels.

- **Benchmark:** https://github.com/david-abel/solar_panels_rl (Abel et al., 2018)
- **Features:** Panel direction: 2 dimensions
- **Data type:** Simulation
- **Sampling:** Simulate collected sunlight
- **Objective:** Collected energy
- **Impact:** More renewable generation, which reduces climate gas emissions

5. Environmental monitoring

An important problem within environmental monitoring is that of choosing where to place the monitoring sensors. This is difficult as the planning has to be done without knowing the usefulness of each site, as that depends on future data. Bayesian optimisation and related methods are useful tools in this setting, as they build probabilistic models of the environmental characteristic of interest — e.g. air pollution — which they use to make efficient choices. If we are mainly interested in identifying the worst-hit locations, e.g. for targeting interventions or evaluating compliance with legal limits, Bayesian optimisation lets us do that efficiently. To build accurate environmental maps for an entire area we can instead use Bayesian experimental design, for instance if we want to know the water quality throughout a lake.

Monitoring is important for tracking the impacts of climate change, including oceans (Sanchez-Pi et al., 2020), for locating gas leakages (Asenov et al., 2019; Gao & Bai, 2022; Kleinegesse & Gutmann, 2020), and the related task of air pollution monitoring (Ainslie et al., 2009; Morere et al., 2017; Marchant & Ramos, 2012; Singh et al., 2010; Hellan et al., 2020; 2022; Leu & Ho, 2020). (Samaniego et al., 2021) provide a benchmark for path-planning based on water quality monitoring, also used by (Folch et al., 2022), but the benchmark uses synthetic data.

The LAQN-BO benchmark

We present a new benchmark for the climate change Bayesian optimisation community, using air pollution data from the London Air Quality Network (LAQN) (Imperial College London, 1993). Air pollution monitoring is related to climate change in that the sources and hence required interventions are often interlinked, e.g. car exhaust. Also, environmental changes are often sped up by climate change, making their monitoring more important. The optimisa-

tion problems in the LAQN-BO benchmark are similar to those in (Hellan et al., 2022). But while that focuses on Bayesian optimisation methodology, we focus on the problems themselves. We provide simple scripts and step-by-step instructions for generating the problems and put them in the context of other climate-related Bayesian optimisation problems and benchmarks.

The optimisation objective is the NO₂ concentration, i.e. to find the location of maximum pollution from the set of available locations. This is useful as higher pollution concentrations mean greater impacts on human health. Again using Figure 1 as a basis, we replace the steps with: (1) model the NO₂ concentration as a function of spatial location; (2) determine a new location to evaluate; and (3) measure the pollution in the new location. We use the provided benchmark to replace (3) with looking up the historical measurement. We construct a training set from the 2015 data, and a test set from the 2016 data. Each problem corresponds to data from a single day, so multiple days give multiple problems. As in (Hellan et al., 2022), we filter out days when less than 40 stations collected measurements, and only use the ‘Roadside’ sensors, resulting in 214 training problems and 365 test problems. We log-transformed the data, and standardised it using the mean and standard deviation from the training set. Example problems are shown in Figure 4.

The LAQN-BO benchmark has several advantages. Firstly, it uses real data. Secondly, we present a *set* of related problems, so that methods can be trained on the training set, and evaluated on the test set. Finally, the provided scripts can be easily adapted to generate new Bayesian optimisation problems using other pollutants or other years of the extensive available LAQN data. None of the other benchmarks combine all of these advantages. A disadvantage is that data is not available everywhere, only at locations with existing sensors, limiting the available search space. This corresponds to having to choose from a sparse set of locations. For instance, if we are mounting sensors to lampposts, we can only choose between the locations with lampposts.

- **Benchmark:** <https://github.com/sighellan/LAQN-BO>
- **Features:** Spatial coordinates: 2 dimensions
- **Data type:** Python class
- **Sampling:** Place pollution sensor. In benchmark replaced by table look-up
- **Objective:** NO₂ concentration
- **Impact:** Better monitoring of air pollution, enabling targeted interventions

6. Benchmark comparison

The four benchmarks identified in our survey have different characteristics, as is summarised in Table 1. They represent different key challenges for Bayesian optimisation deployments, and provide breadth for evaluating different types of Bayesian optimisation methods. The key challenge of the materials benchmark is its small size, meaning there is little opportunity to fine-tune the methods. For LAQN-BO it is that of constructing priors from the training data, as the problems have as little as 40 evaluations each, requiring very sample-efficient learning. The wind farm layout benchmark also requires efficient use of data. But in contrast to LAQN-BO, it does not provide training data for learning priors. The key challenge for the renewable control benchmark is dealing with large numbers of samples, as the panel direction is optimised regularly over many days. It is therefore a good candidate for testing Bayesian optimisation built on scalable Gaussian processes (Liu et al., 2020). It also requires keeping track of context, e.g. time, as the sun moves across the sky. An additional contrast to the other benchmarks is that the performance throughout the optimisation matters, as it directly impacts the amount of electricity generated.

The materials benchmark is the easiest to start using, as the data is provided in CSV files, followed by that for environmental monitoring, LAQN-BO, which provides a simple Python interface to the data. The benchmarks for wind farm layout and renewable control require more setup, as methods need to be interfaced with the simulators. Their advantage is that they are easier to extend, and can be evaluated for more input values. LAQN-BO can be easily extended to more problems using the LAQN data (Imperial College London, 1993), but extensions to other base data sets would require more work. The materials benchmark is the hardest to extend, as it relies on results from synthesising and evaluating materials.

7. Conclusion and other applications

Bayesian optimisation and related methods have many more climate-related applications: learning energy consumptions of individual household appliances (Jia et al., 2019); optimising charging protocols for electric vehicle batteries (Attia et al., 2020); scheduling smart appliances to smooth out demand curves (Tabakhi et al., 2020); determining vibration suppression parameters for floating wind turbines (Zhang et al., 2022); producing policies to reduce the impact of livestock diseases made more prevalent by global warming (Spoonier et al., 2020); and tuning parameters for HVAC system and building models to reduce cooling and heating energy demands (Zhan et al., 2022; Chakrabarty et al., 2021a;b). Bayesian optimisation also has applications in improving climate models, by targeting informative training data (Watson-Parris, 2021). And it has been used

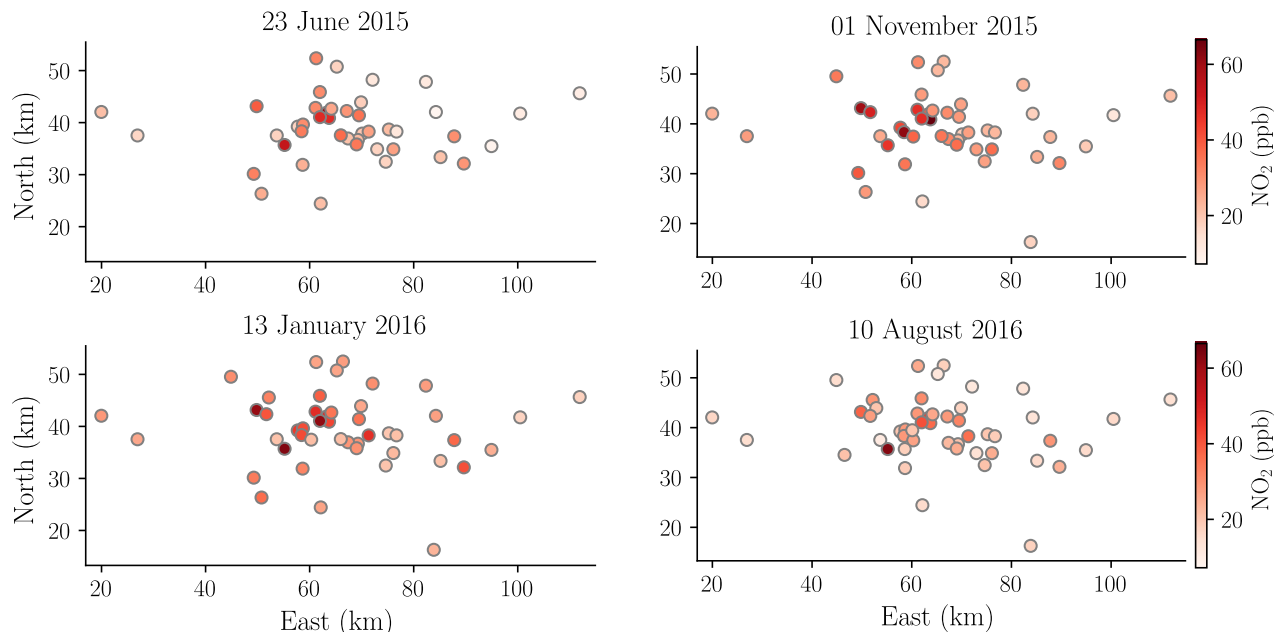


Figure 4: Example LAQN-BO problems from the training set (2015, top) and test set (2016, bottom). Not all sensors are available on every day. The overall pollution level varies, compare the top left and right plots. The locations of maxima also varies, compare the right top and bottom plots. Most of the sensors are clustered together in central London.

Table 1: Comparison of benchmarks.

Benchmark	Type	Key challenge	Dimensionality	# problems
Materials (Liang et al., 2021)	Real	Small size	3/5	3
Wind farm layout (Bliek et al., 2021)	Simulation	Sample efficiency	10	1
Renewable control (Abel et al., 2018)	Simulation	Scaling, context	2	10
Environmental monitoring (LAQN-BO)	Real	Priors	2	214+365

for parameter tuning of a global land surface model (Druel et al., 2017), a landslide model (Pradhan et al., 2021) and forecasting models for electricity generation and demand (Trivedi & Khadem, 2021).

To encourage more Bayesian optimisation practitioners to work on climate change applications, an important next step is to establish more benchmarks, standard data sets or simulators, as we do with the LAQN-BO benchmark. More challenging benchmarks should also be established, e.g. for constrained or multi-objective optimisation, to encompass the full complexity of real problems. For example: outside a simulation, some of the ground might be unsuitable for building wind turbines; and when building renewable energy generators there are multiple competing objectives (Wu et al., 2018; Flecker et al., 2022). Additionally, there might be constraints for controlling the generators, due to increased energy costs and fatigue when changing settings.

The energy cost of changing the panel angles is included in the renewable control benchmark (Abel et al., 2018), so Bayesian Ascent (Park & Law, 2016a) should be tested, as it limits the difference in input — panel angles — between iterations. As a further extension, the layout and control of wind farms can be optimised jointly (Chen et al., 2022).

In the future, all these benchmarks should be brought together and their interfaces aligned, to create a standardised, climate-themed benchmark suite. By identifying four benchmarks representing the main application domains, introducing a new benchmark, and highlighting the potential for Bayesian optimisation, we take an important step in facilitating future research.

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